Brian Groom

Emergency Cease and Desist Order

DATE: September 25, 2001 RESPONDENT: Brian Groom of Cheney, Kansas ALLEGATIONS: Offer of Unregistered Securities; Offer of Unregistered Securities by an Unregistered Broker-Dealer ORDER: Mr. Brian Groom was ordered by the Kansas Securities Commissioner to Cease & Desist in offering or selling unregistered securities. He was also cited for being an unregistered broker-dealer. Groom is alleged to have placed a classified advertisement in *The Wichita Eagle* newspaper soliciting investors to invest up to \$100,000 for the purpose of paying construction costs for a custom-built home. The investor would receive a 10% return on his investment when the home was sold.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the North American Securities Administrators Association website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.